

# Possible superconducting pairing symmetries in 2H-stacking bilayer NbSe<sub>2</sub>

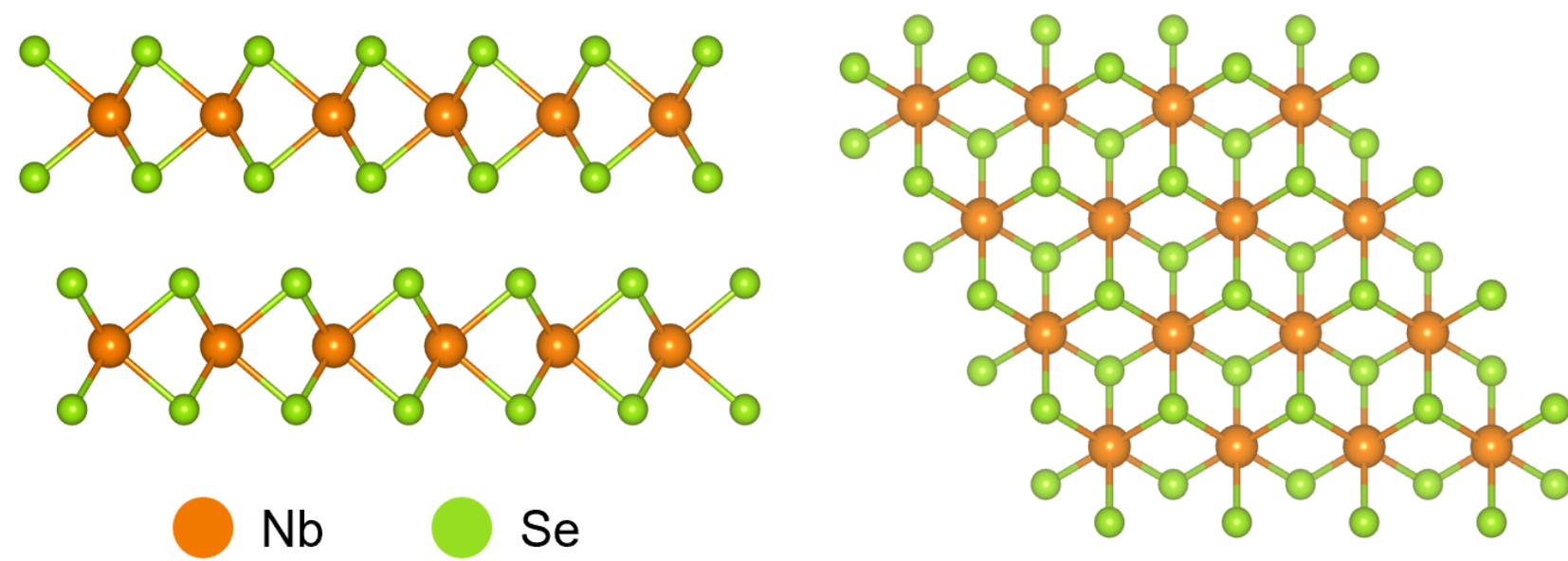
Luyao ZHONG Benjamin T. ZHOU

Quantum Science and Technology Center & Thrust of Advanced Materials,  
The Hong Kong University of Science and Technology (Guangzhou)

## 1. Motivation

Motivated by recent experimental breakthroughs of superconducting properties in 2H-stacking NbSe<sub>2</sub>, this work investigates the **possible pairing symmetries** in **2H-stacking bilayer NbSe<sub>2</sub>** using Group-Theoretical classification of superconducting states.

In realistic experimental setups, the system is often perturbed by **external experimental factors**, which breaks the inversion symmetry and induces a **Rashba spin-orbit coupling (SOC)** in one. To understand this effect, we compare the superconducting phase diagram in these two scenarios.



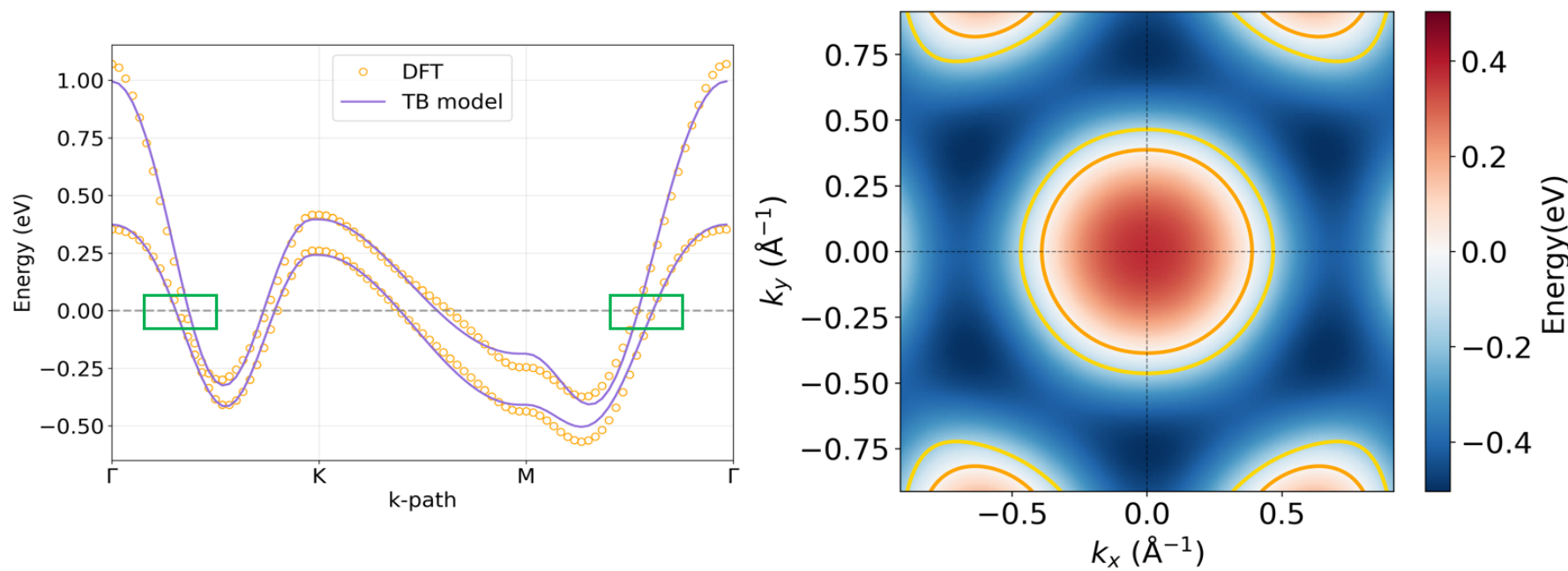
## 2. Tight-Binding Model and Symmetry

We construct a tight-binding model based on the **Nb  $d_{z^2}$  orbitals**. The basis is defined as  $\{d_{z^2,1}, d_{z^2,2}\}$ , where the indices 1, 2 denote the layer index.

The monolayer and bilayer Hamiltonians are given by:

$$\hat{H}_{\text{mono}}(\mathbf{k}) = \xi_{\mathbf{k}}\sigma_0 + \Lambda_{\mathbf{k}}\sigma_z, \quad \hat{H}_{\text{bi}}(\mathbf{k}) = \begin{pmatrix} \hat{H}_{\text{mono}}(\mathbf{k}) & \hat{H}_{\text{int}}(\mathbf{k}) \\ \hat{H}_{\text{int}}^\dagger(\mathbf{k}) & \hat{H}_{\text{mono}}(-\mathbf{k}) \end{pmatrix}$$

Here,  $\xi_{\mathbf{k}}$  denotes the kinetic term,  $\Lambda_{\mathbf{k}}$  represents the **Ising SOC** terms. All model parameters are fitted from DFT calculations. Specifically, we consider **intralayer hoppings** up to the 5th nearest neighbor (5NN) and **interlayer hoppings** up to the 3rd nearest neighbor (3NN).



Nb  $d_{z^2}$  orbitals are predominant at the  $\Gamma$  point in Density of States (DOS) calculations. Consequently, we restrict our analysis to the pairing instabilities on the Fermi surface pockets surrounding the  $\Gamma$  point (highlighted by the green box above). Here we enforce an active pairing energy window of **15 meV** around the Fermi level.

Classification of spin-singlet and spin-triplet basis gap functions based on  $D_{3d}$  symmetry. The basis function are generated from  $C_{3v}$  irrep  $A_1, A_2, E$ , where  $C(\mathbf{k}) = \frac{1}{\sqrt{3}}(\cos k_1 + \cos k_2 + \cos k_3)$ ,  $C_+(\mathbf{k}) = \frac{1}{\sqrt{3}}(\cos k_1 + e^{i\frac{2\pi}{3}}\cos k_2 + e^{i\frac{4\pi}{3}}\cos k_3)$ ,  $S(\mathbf{k}) = \frac{1}{\sqrt{3}}(\sin k_1 + \sin k_2 + \sin k_3)$ ,  $S_+(\mathbf{k}) = \frac{1}{\sqrt{3}}(\sin k_1 + e^{i\frac{2\pi}{3}}\sin k_2 + e^{i\frac{4\pi}{3}}\sin k_3)$ , and  $\tau$  matrix represent layer degree.

$\Gamma$	Singlet basis	Singlet $\tau$	Triplet basis	Triplet $\tau$
$A_{1g}$	$\Psi^{A_{1, on}} = 1$ $\Psi^{A_{1, nm}} = C(\mathbf{k})$	$\tau_0$	$d^{A_{1, z}} = S(\mathbf{k}) z$ $d^{A_{1, xy}} = [S_-(\mathbf{k}) \mathbf{x}_+ - S_+(\mathbf{k}) \mathbf{x}_-]$	$\tau_z$
$A_{2g}$	—	—	$d^{A_{2, xy}} = S_-(\mathbf{k}) \mathbf{x}_+ + S_+(\mathbf{k}) \mathbf{x}_-$	$\tau_z$
$Eg$	$\Psi_1^{E, nm} = C_+(\mathbf{k})$ $\Psi_2^{E, nm} = C_-(\mathbf{k})$	$\tau_0$	$d_1^{E, z} = S_+(\mathbf{k}) z$ $d_2^{E, z} = S_-(\mathbf{k}) z$	$\tau_z$
$A_{1u}$	$\Psi^{A_{1, on}} = 1$ $\Psi^{A_{1, nm}} = C(\mathbf{k})$	$\tau_z$	$d^{A_{1, z}} = S(\mathbf{k}) z$ $d^{A_{1, xy}} = [S_-(\mathbf{k}) \mathbf{x}_+ - S_+(\mathbf{k}) \mathbf{x}_-]$	$\tau_0$
$A_{2u}$	—	—	$d^{A_{2, xy}} = S_-(\mathbf{k}) \mathbf{x}_+ + S_+(\mathbf{k}) \mathbf{x}_-$	$\tau_0$
$Eu$	$\Psi_1^{E, nm} = C_+(\mathbf{k})$ $\Psi_2^{E, nm} = C_-(\mathbf{k})$	$\tau_z$	$d_1^{E, z} = S_+(\mathbf{k}) z$ $d_2^{E, z} = S_-(\mathbf{k}) z$	$\tau_0$

## 3. Linear Gap Equation

We define the basis pairing matrices as  $\Psi_{\Gamma, m}^{(r)}(\mathbf{k}) = \psi_{\Gamma, m}^{(r)}(\mathbf{k})i\sigma_y$  and  $D_{\Gamma, m}^{(r)}(\mathbf{k}) = \mathbf{d}_{\Gamma, m}^{(r)}(\mathbf{k}) \cdot \boldsymbol{\sigma} i\sigma_y$ . According to symmetry constrain, the interaction is then expanded in terms of coupling constants  $\{v_r(\Gamma)\}$ :

$$V_{s'ss_1s_2}(\mathbf{k}, \mathbf{k}') = - \sum_{r, m, \Gamma} \frac{v_r(\Gamma)}{A} \times \left\{ [\Psi_{\Gamma, m}^{(r)}(\mathbf{k})]_{ss'} [\Psi_{\Gamma, m}^{(r)}(\mathbf{k}')]_{s_1s_2}^* + [D_{\Gamma, m}^{(r)}(\mathbf{k})]_{ss'} [D_{\Gamma, m}^{(r)}(\mathbf{k}')]_{s_1s_2}^* \right\}$$

Substituting this expansion, the linearized gap equation transforms into:

$$\Delta(\mathbf{k}) = - \frac{k_B T_c}{A} \sum_{n, \mathbf{k}', r, m, \Gamma} v_r(\Gamma) \times \left\{ \Psi_{\Gamma, m}^{(r)}(\mathbf{k}) \text{tr}[\Psi_{\Gamma, m}^{(r)}(\mathbf{k}')^\dagger G_e(\mathbf{k}', i\omega_n) \Delta(\mathbf{k}') G_h(\mathbf{k}', i\omega_n)] \right. \\ \left. + D_{\Gamma, m}^{(r)}(\mathbf{k}) \text{tr}[D_{\Gamma, m}^{(r)}(\mathbf{k}')^\dagger G_e(\mathbf{k}', i\omega_n) \Delta(\mathbf{k}') G_h(\mathbf{k}', i\omega_n)] \right\}$$

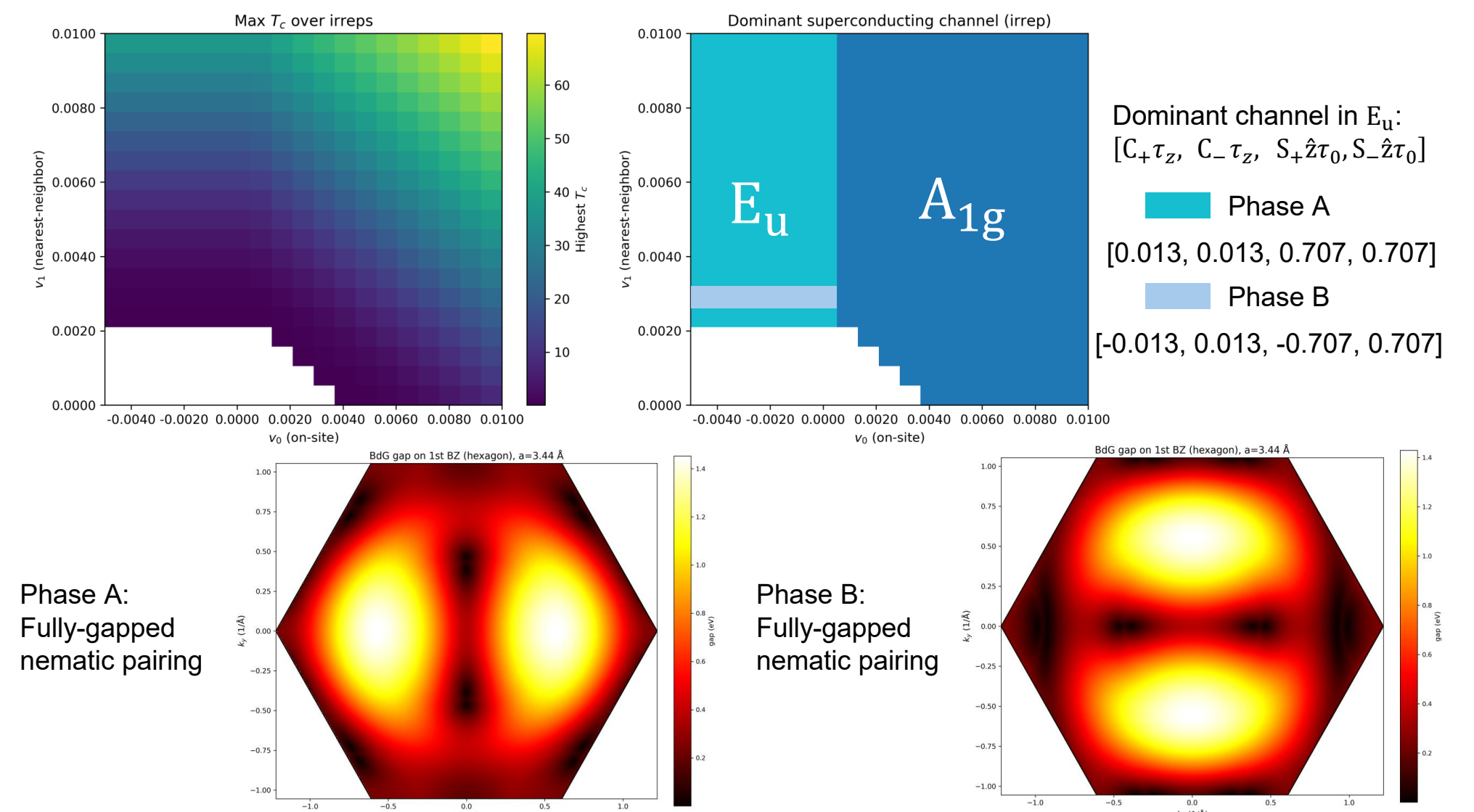
Meanwhile, the pairing field can be expressed in terms of the order parameters  $s_n$  and  $t_n$ :

$$\Delta_{\Gamma}(\mathbf{k}) = \sum_{r, m} \left[ s_r(\Gamma, m) \Psi_{\Gamma, m}^{(r)}(\mathbf{k}) + t_r(\Gamma, m) D_{\Gamma, m}^{(r)}(\mathbf{k}) \right]$$

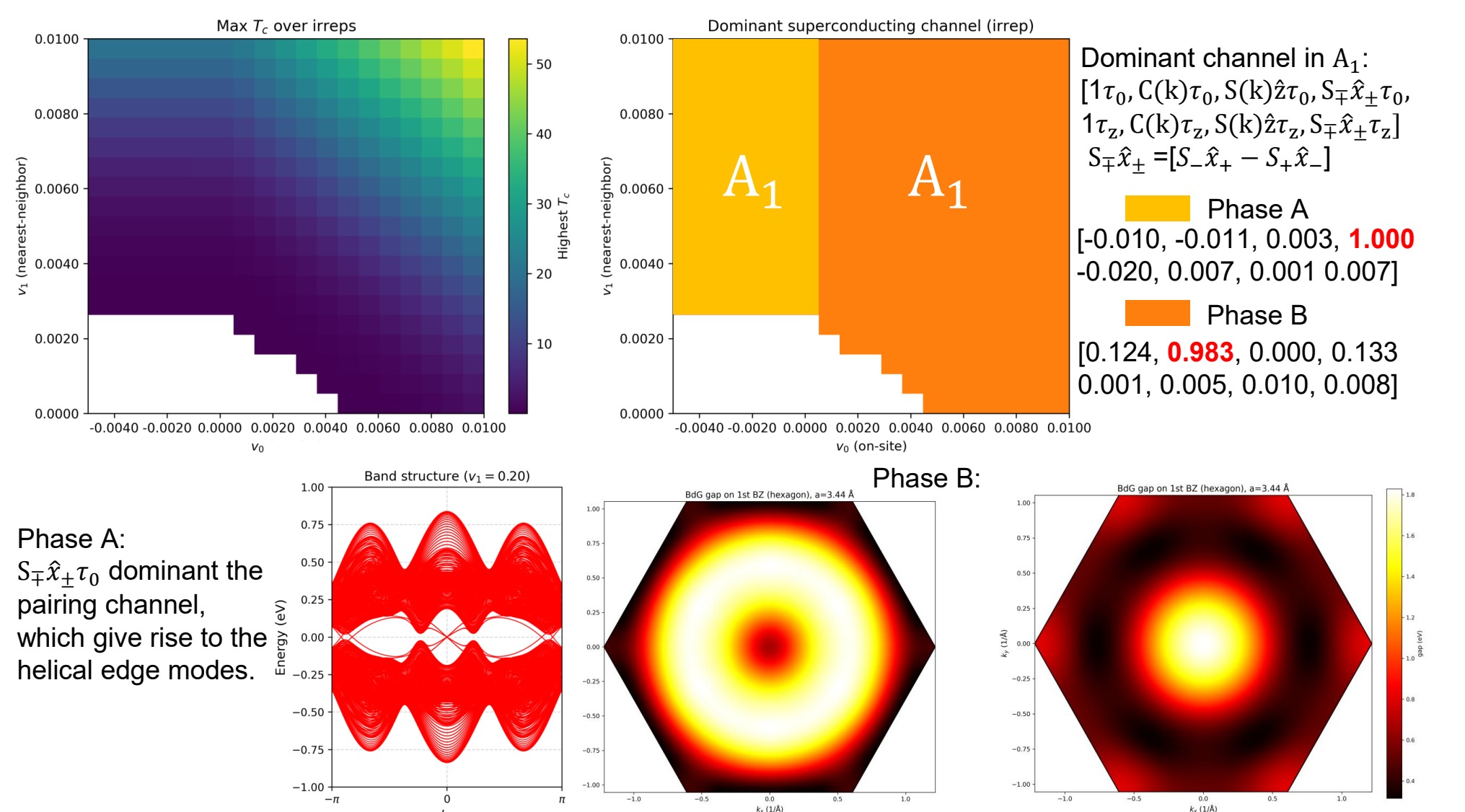
By substituting this expansion into the linearized gap equation, we can solve the system self-consistently.

## 4. Phase Diagram

Possible pairing phase diagram of bilayer 2H-NbSe<sub>2</sub> without Rashba SOC:



Possible pairing phase diagram of bilayer 2H-NbSe<sub>2</sub> with Rashba SOC at one layer( $C_{3v}$ ):



## Conclusions:

- In the absence of Rashba SOC, a negative interaction strength ( $v_1 < 0$ ) leads to a nematic pairing state that preserves time-reversal symmetry.
- With Rashba SOC applied to one layer, the  $S_- \hat{x}_+ - S_+ \hat{x}_-$  channel becomes dominant due to its alignment parallel to the Rashba spin-orbit coupling vector.